



128C Receipt #4  
12878 #

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Devon SHYU & John MAI

Docket Number: 12170 B

Serial No.: 09/821135

Art Unit: 2878

Filed: March 30, 2001

Examiner: ( Not yet assigned )

For: **CCD SCANNER CAPABLE OF SCANNING AT AN ARBITRARY ANGLE**

Transmitted herewith is/are the following:

- ☒ Communication & Amendment
- ☒ Corrected copy of Filing Receipt
- ☒ Other Acknowledgment Postcard

RECEIVED

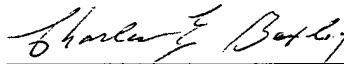
MAY 23 2001

OFFICE OF PETITIONS

- ☒ The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 355.00 is enclosed.
- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: - Commissioner of Patents & Trademarks, Washington, DC 20231; Attention: Office of Initial Patent Examination Customer Service Center on May 17, 2001.

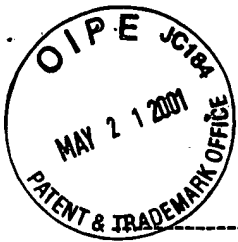
Respectfully,

Dated: May 17, 2001  
CHARLES E. BAXLEY  
Hart, Baxley, Daniels & Holton  
59 John Street, Fifth Floor  
New York, New York 10038

  
\_\_\_\_\_  
CHARLES E. BAXLEY  
Attorney of Record  
USPTO REG. 20,149

Tel: (212) 791-7200  
Fax: (212) 791-7276

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12170 B  
19 APR 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Art Unit : 2878 :  
Applicant. : Devon SHYU & John MAI :  
Serial No. : 09/821135 :  
Filed : M :  
March 30, 2001 :  
For : CCD SCANNER CAP :  
Examiner : Not Yet Assigned :  
-----X

Attention: Office of Initial Patent Examination  
Customer Service Center

Hon. Commissioner for Patents  
US Patent and Trademark Office  
Washington, DC 20231

COMMUNICATION

Dear Sir:

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MAY 23 2001  
OFFICE OF PETITIONS

Returned herewith is a copy of a Filing Receipt in the above referenced Patent Application with a correction noted thereon.

Applicant's Assignee is a Large Entity and the Filing Fee for a Large Entity has been paid. Accordingly I will appreciate issuance of a Corrected Filing Receipt hereon.

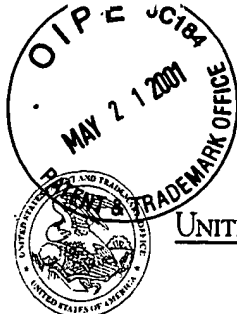
Courtesy, cooperation and skill of Office of Initial Patent Examination are acknowledged.

Respectfully,

Charles E. Baxley  
Attorney of Record  
USPTO Reg 20, 149  
59 John Street - 5th Floor  
New York, New York 10038  
Tel: (212) 791-7200  
Fax: (212) 791-7276

Adjustment date: 05/22/2001 ANAB11  
05/03/2001 SABRAKAI 00000023 09821135  
01 FC:201 -355.00 OP

Dated:  
Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/821,135	03/30/2001	2878	355	12170 B	9	11	1

CONFIRMATION NO. 5255

## FILING RECEIPT



\*OC000000006058704\*

Hart, Baxley, Daniels & Holton  
59 John St, Fifth Floor  
New York, NY 10038

Date Mailed: 05/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Devon Shyu, Tainan Hsien, TAIWAN;  
John Mat, Hsin-Chu City, TAIWAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

TAIWAN 090200442 01/10/2001

If Required, Foreign Filing License Granted 05/09/2001

Projected Publication Date: 07/11/2002

Non-Publication Request: No

Early Publication Request: No

**LARGE**  
\*\* ~~SMALL~~ ENTITY \*\*

## Title

CCD scanner capable of scanning at an arbitrary angle

## Preliminary Class

RECEIVED  
AUG 21 2001  
TC 2800 MAIL ROOM

250

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**Data entry by :** DABA, JOSEP

**Team :** OIPE

**Date:** 05/09/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





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12170 B  
19Apr01

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Serial No. : 09/821135 :  
Filed : 30 March 2001 :  
For : CCD SCANNER CAP :  
Examiner : Not Yet Assigned :

BOX - AMENDMENT - FEE  
Hon. Commissioner of Patents  
US patent & Trademark Office  
Washington, DC 20231

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MAY 23 2001  
OFFICE OF PETITIONS

05/22/2001 ANABI1 00000016 09821135

01 FC:101

710.00 OP

AMENDMENT

Dear Sir:

It has come to attention of Applicant's Attorney that Assignee, Avision Inc., qualifies as a Large Entity under United States Patent Law. A mistake hereon was without intent to deceive and without intent to delay prosecution of the Application.

Accordingly a check in the amount of Three Hundred Fifty Five Dollars (\$355.00) is enclosed herewith to pay the difference for a Large Entity Filing Fee along with a copy of the Filing Receipt.

Courtesy and cooperation of the Patent and Trademark Office hereon are acknowledged.



Respectfully,

A handwritten signature in cursive script that reads "Charles E. Baxley".

Charles E. Baxley  
Attorney of Record  
USPTO Reg. 20,149  
59 John Street - 5th Floor  
New York, New York 10038  
Tel: (212) 791-7200  
Fax: (212) 791-7276

Dated:

Encl. - \$355.00 Check